ENERGY DISSIPATING MODES AND DESIGN RECOMMENDATION OF H-SHAPED STEEL BAFFLES SUBJECTED TO BOULDER IMPACT

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ABSTRACT

Flexible barriers are one of the most effective protective structures, which have been widely used for the mitigation of rockfalls. As the only compression members in a flexible barrier system, steel posts maintain the integrity of the interception structure to keep the function of the system. Due to the random trajectories of rockfalls, steel posts may be impacted by boulders directly. The impact scenario may result in the failure of the post and even the collapse of the system. In this paper, firstly, steel baffles were proposed to be an additional structural countermeasure to avoid the direct impact of posts. Secondly, numerical method was adopted to study the structural behaviour of steel baffles under direct boulder impact. Then, an available published experimental test of H-shaped steel beams under drop weight impact loading by others was back analyzed to calibrate the finite element model. Finally, numerical simulations were carried out to investigate the energy dissipating modes and energy dissipating modes of H-shaped baffles subjected to boulder impact, namely flexural, local compression buckling and shear buckling. Local compression buckling is the most efficient energy dissipating mode. The hickness of the web of an H-shaped baffle is suggested to be 4 mm and 6 mm for the rated dissipating energy of 50 kJ and 100 kJ, respectively.

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1. Introduction

The function of flexible barriers is similar to that of spider orb webs (Fig. 1a), which is to absorb the kinetic energy of the impact while minimizing structural damage. Benefiting from the weight of material, cost, ease and speed of construction, aesthetics and favourable environmental effect, flexible barriers are the most effective protective structures and have been extensively used for the mitigation of rockfalls [1-6] in hilly regions. In addition to footings, a typical flexible barrier (Fig. 1b) is mainly composed of three parts: 1) an interception structure; 2) a support structure; 3) connection components [7]. The interception structure is usually made up of cable nets or ring nets and bears the direct impact of the rockfall, transmitting the stresses to the connection components. A support structure usually consists of steel posts and maintains the interception structure erected through the connection components. The connection components include support ropes, anchor ropes and shackles, etc. They transmit the impact loads to the footing structure and then to the ground. They also help to maintain the integrity of the interception structure. Energy dissipating device is the most critical component in the system, dissipating around 60%-80% of the total impact energy [8] through friction between components, plastic deformation or their combination [9]. As energy dissipating devices are attached to steel ropes and allowed for a controlled displacement after activation, they are usually classified as a connection component.





(a) Spider orb web

(b) Flexible rockfall barrier

Fig. 1 Typical flexible barrier

As the support structure in a flexible barrier, steel posts ensure the position of the interception structure and the function of the system. From the view of stress characteristics, steel posts are the only compression members in the system, and all the other components are in tension during interception.

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The stress characteristic of flexible barriers is similar to tensegrity structures, figuratively described as "small islands of compression in a sea of tension" [10]. Due to the random trajectories of rockfalls [11], steel posts may be impacted by boulders directly which may result in the failure of the post and even the collapse of the system [1,12,13]. Field investigations (Fig. 2) also show that the failure of steel posts due to the direct impact of boulders happened occasionally. To solve this problem, it is necessary to improve the impact resistance of steel posts of flexible barrier or reduce the impact on steel posts.



Fig. 2 Steel post directly impacted by boulders [14]

In this paper, firstly, steel baffles, as a straining structure, were proposed to be an additional structural countermeasure installed in front of the steel posts of flexible barriers to protect the steel posts from boulder impact in Section 2, and the principle of the baffles was also discussed in the section. Then, an available published experimental test of H-shaped steel beams under drop weight impact loading by others was back analyzed in Section 3. The test results of contact force and lateral deflection were used to calibrate the finite element model. Finally, numerical simulations were carried out to investigate the energy dissipating modes and energy dissipating efficiency of the Hshaped steel baffles in Section 4.

2. Principle of the baffle

The impact resistance of the steel post can be improved by increasing the section size. However, this measure will significantly increase the weight of the steel post and bring difficulties in field construction. Besides, the enhanced steel post is still the first and only line of defense against the direct

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impact of a boulder which is not conducive to improving the reliability of flexible barrier systems.

The concept of multiple lines of defense has been widely accepted and adopted in structural seismic design [15]. Based on this concept, a baffle is proposed to be installed in front of the post to be the first line of defense. Instead of the protected post, the baffle will bear the first direct impact of boulders which could have impacted the post directly. After impacting the baffle, the kinetic energy of the boulder will be reduced significantly, owing to the plastic deformation of the baffle, damping or additional energy dissipating device. By trapping boulders upstream, the impact load can be reduced for the post of a flexible barrier in the downstream. In addition, the trajectory of the boulder will be changed, the boulder will impact the interception structure of the flexible barrier rather than the downstream post. In summary, the principle of the baffle mainly lies in the following two aspects: a) dissipate the impact energy of the boulder; b) change the trajectory of the boulder.

In essence, the baffle is a cantilever post subjected to impact load. Shaped steel is suggested to be used, such as H-shaped steel, circular tube and square tube. Concrete-filled steel tube (CFST) is also a good choice. Therefore, the baffles are light-weight and can be easily transported and constructed on a relatively steep terrain with poor access. The site of the baffle is certainly in front of the post to be protected. The height and the capacity of a baffle mainly depend on the bounce height and the kinetic energy of the boulder, respectively, which can be predicted by the movement analysis of rockfalls. In general, the height of the baffle is smaller than the protected post.



3. Validation of the numerical model

A considerable amount of literature has been published on studies of the dynamic behaviour and failure modes of steel members under the transverse impact. In particular, the behaviour of axially restrained beams and axially compressed steel columns has been studied extensively and intensively [16-20]. This is understandable since axially restrained beams and axially compressed steel columns are frequently used as members in buildings and bridges, etc. Obviously, the behaviour of cantilever posts under transverse impact will be different, and the related studies are few. Consequently, there is insufficient data to understand the dynamic behaviour of the baffle subjected to boulder impact.

As there is no available test data of baffles or cantilever steel posts under transverse impact, in this section, a published experimental test of a series of H-shaped steel beams under drop weight impact loading by others will be back analyzed to calibrate the LS-DYNA model.

3.1. Description of the test

The experimental test for calibration was conducted by Zhao et al. [19]. The specimens were with fixed-pinned (FP) end condition. Two square end plates with a thickness of 16mm were welded to each specimen. The length of each specimen was 1.5 m, and the section was $h \times b \times t_w \times t_f = 100 \times 100 \times 6 \times 8$ mm. The beam was impacted at the midpoint by a hammer. The hammer is made of GCr 15 steel with 64 HRC hardness and a 30mm×80mm rigid bottom surface. The experimental setup is shown in Fig. 4 as well as the details of steel beams

and the LS-DYNA back-analysis model. In the test, the impact forces were monitored and recorded by a dynamic load cell. The details of the H-shaped steel specimens are illustrated in Table 1.

Table 1

Details of H-shaped specimens [19]

Case	Specimen label	<i>m</i> ₀ (kg)	H_0 (m)	<i>v</i> ₀ (m/s)	E_0 (kJ)
1	H-0.9-FP	89	1.04	4.5	0.9
2	H-2.5-FP	150	1.67	5.7	2.5
3	H-2.9-FP	150	2	6.2	2.9
4	H-3.7-FP	150	2.5	7.0	3.7

Note: m_0 is the weight of the hammer, H_0 is the hammer release height; E_0 is the impact energy before collision; v_0 is the initial impact velocity of the hammer.



Fig. 4 Experimental setup of Zhao et al. [19]

3.2. Description of LS-DYNA model

Considering the thickness of the H-section is much smaller than the other two dimensions, the default shell element named Belytschko-Lin-Tsay element, which is computationally efficient and stable for explicit calculations, was selected for modelling the beams. A five-stage elastic-plastic model developed by Han et al. [21] was employed to simulate the steel material. The model includes the elastic, elastic-plastic, plastic, hardening and secondary plastic flow stages, as presented in Fig. 5. Referring to the tests conducted by Zhao et al. [19], the following parameters were used: the density was 7850kg/m³, the modulus of elasticity was 211 GPa, Poisson's ratio was 0.3, yield stress was 291.3 MPa, the tangent modulus was 1.476 GPa and the failure strain was 0.284. Additionally, the Cowper-Symonds model [22] was adopted to scale the yield stress by a strain rate dependent factor, as shown in Eq. (1):

$$\sigma_{\rm yd} = \sigma_{\rm ys} \left[1 + \left(\frac{\&}{C}\right) \right]^{\frac{1}{p}} \tag{1}$$

where σ_{yd} and σ_{ys} are the dynamic yield stress of steel under the stress rate and the static yield stress, respectively; *C* and *P* are the strain rate parameters with values 40.4s⁻¹ and 5 according to the summary of Jones [23], respectively.



Fig. 5 Five-stage elastic-plastic model

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The hammer and the roller were modelled by the default constant stress solid element. As the deformations of the hammer and the roller were very small during the impact process, they were defined as rigid bodies to reduce the computational requirement. The neglect of the deformations only has little influence on the impact results.

The mesh size will affect the computational cost and accuracy. A reasonable mesh size means that the computational accuracy is satisfactory and the computational cost is acceptable. When the mesh is too fine, the computational cost will increase significantly, but the computational accuracy will not be improved noticeably. When the mesh is too rough, the computational cost will decrease, but the accuracy will also be decreased. By trial and error, the mesh size was selected to be 5mm at the impact zone and 10mm at other zones.

An automatic surface-to-surface contact algorithm (Contact_Automatic_ Surface_To_Surface in LS-DYNA) [24] was adopted to simulate the contact behaviour between the hammer and the steel beam, as well as the beam and the roller. In the test, the hammer dropped freely from a specified height, but it was positioned above the top flange of the beam with an equivalent initial velocity to reduce the computer time in the model.

3.3. Calibration of LS-DYNA model

Fig. 6 compares the local deformations at the midspan zone. It can be seen that the simulated deformed shapes agree well with the test. The local deformations are mainly concentrated on the midspan and minor buckling in the web occurs. Fig. 7 compares the experimental and numerical impact force-time relationships. The test results and the simulation results, including the peak impact forces (F_{peak}), the plateau impact forces (F_{plateau}), and the durations of impact force (T) are presented in Table 2. For Cases 1 to 4, the maximum error of experimental and numerical results is 19.2%, which occurs at the peak impact force in Case 1. It can be seen that both the impact force and the durations all agree very well.

As a conclusion, it may be accepted that the present LS-DYNA model with the associated material behaviour is capable of simulating the behaviour of steel members subjected to impact loading.

Table 2	
Comparison of the	results

Corre	Graniman Ishal	F_{peak} ((kN)	Error	F _{plateau} (kN)		Error	T(m:	T(ms)	
Case	Specimen label	Tested	FE	(%)	Tested	FE	(%)	Tested	FE	(%)
1	H-0.9-FP	239.9	193.7	19.2	122.8	133.5	8.7	10.1	8.26	18.2
2	H-2.5-FP	324.0	318.5	1.7	141.1	125.2	11.3	13.8	11.7	15.2
3	H-2.9-FP	422.5	348.9	17.4	143.4	126.3	11.9	14	12.3	12.1
4	H-3.7-FP	399.2	382.4	4.2	158.9	129.7	18.4	14.3	13.2	7.7

4. Dynamic response

4.1. Modelling properties

H-shaped baffles with a height of 2.0 m were used to reveal the energy dissipating modes of an H-shaped steel baffles subjected to boulder impact. The same LS-DYNA simulation method calibrated in the previous section was used. It should be mentioned that the steel grade was assumed to be Q235 [25] and the yield strength was assumed to be a standard value of 235 MPa. The full-frontal impact was applied to the flanges (Point P in Fig. 8) to cause bending about the major axis (x-x axis) of the baffle, which represents the most typical case for design purposes. The impact point was assumed to be 0.8 m away from the top of the baffle. The bottom of the baffle was fixed end condition. A side-view schematic of the model is shown in Fig. 8.



Fig. 8 Side view schematic of the model



(b) Numerical result



(a) Experimental result



Fig. 7 Comparison of the impact force

4.2. Simulation case

According to the elastic mechanic, the critical stress of a steel plate can be uniformly expressed as Eq. (2):

$$\sigma_{\rm cr} = \chi k \frac{\pi^2 E}{12(1-\nu^2)} \left(\frac{t}{b}\right)^2 \tag{2}$$

where χ is the constraint coefficient, *k* is the buckling coefficient, *E* and *v* are the modulus of elasticity and Poisson's ratio of steel, *t* and *b* are the thickness and width of the plate, respectively.

From Eq. (2), it can be seen that either the flange or the web of an Hshaped baffle, the critical stress of which mainly depends on the ratio of thickness and width of the plate. In other words, the energy dissipating mode of a baffle subjected to the impact of a boulder may mainly depend on the flange width-thickness ratio and the web depth-thickness ratio, denoted by γ_f and γ_w , respectively. From this point of view, three typical cases as shown in Table 3 were conducted. In these cases, the depth and width of these crosssections both were fixed to 300 mm. The thickness of the flange and web were carefully chosen to obtain different combinations of γ_f and γ_w . In these cases, the boulder was 1.2 m in diameter and about 2000 kg in mass. The boulder impacts at point P as shown in Fig. 8 with the initial velocity of 10 m/s. So, the impact energies (E_0) of these cases all were 100 kJ.

Table 3

Typical cases of energy dissipating mode

Casa	Cross-section	on proper	ties	Impact properties				
Case	Size	$\gamma_{\rm f}$	$\gamma_{\rm w}$	<i>m</i> (kg)	$v_0 (m/s)$	$E_0 (\mathrm{kJ})$		
Case-1	H300×300×12×12	12.5	23.0	2000	10.0	100		
Case-2	H300×300×6×12	12.5	46.0	2000	10.0	100		
Case-3	H300×300×6×25	6.0	41.7	2000	10.0	100		

4.3. Simulation results

4.3.1. Impact force

The velocity-time histories of these boulders (Fig. 9) show that the boulders rebound with 1.2 m/s, 1.3 m/s and 1.4 m/s in velocity for Case 1 to Case 3 after the impact, which means that all the baffles withstood the frontal impact of the boulder with 100 kJ in kinetic energy successfully. The impacts last about 55 ms, 99 ms and 65 ms for Case 1 to Case 3, respectively.



Fig. 9 Velocity-time history of boulder

The impact force-time histories (Fig. 10) of these cases are similar. All of them show the same characteristic of three stages, named peak stage (S_1) , stable stage (S2) and unload stage (S3), respectively. In the peak stage, the interaction between the boulder and impact area of the baffle increases rapidly due to the transient action of the impact and the big local stiffness of the impact area, resulting in the maximum peak impact force at the first impact. As the mass of the boulder is much bigger than that of the baffle, the velocity of the baffle increases rapidly, but the velocity of the boulder decreases. The interaction between boulder and baffle becomes weaker, resulting in a rapid decrease of the impact force. Then, the velocity of the baffle decreases due to the boundary condition. The interaction increases again, resulting in another peak impact force. After repeated impact and separation several times, the velocities of the boulder and the impacted part of the baffle tend to be consistent gradually. This explains why the impact force fluctuates drastically in this stage. Subsequently, in the stable stage, the impacted part of the baffle and the boulder move at almost the same velocity. The plastic deformation of the baffle develops steadily, and the impact force is relatively stable. In this stage, the impact energy of the boulder is gradually transformed into the plastic strain energy of the baffle. The impact energy is mainly dissipated in this stage. Then, with the decreasing velocity of the boulder and baffle, the impact force starts to decrease until the velocity is close to zero and the unload stage starts. The impact ends when the boulder rebounds and separates from the baffle. The impact force decreases to zero at the moment.



Fig. 10 Impact force-time history

For Case 1 and Case 3, there are several peaks during the first stage. For Case 1, the maximum peak force is 1350 kN at 3.0 ms. The stable stage lasts 21 ms and the stable impact force is about 400 kN. When T=55 ms, the impact force decreases to zero. For Case 2, there is only one significant peak force (F_{peak}) 832 kN when T=2.0 ms during the peak stage. The stable stage lasts about 60 ms, from T=10 ms to T=70 ms. The stable impact force (F_{stable}) is

about 250 kN. When T=99 ms, the impact force decreases to zero. For Case 3, the maximum peak force is 1110 kN at 2.0 ms. The stable stage lasts about 25 ms and the stable impact force is about 350 kN. When T=65 ms, the impact force decreases to zero. The maximum impact forces and impact durations of the three cases show that the impact stiffness of Case 2 is the lowest, and that of Case 1 is the highest.

4.3.2. Energy dissipating mode

As shown in Fig. 11, for Case 1, the permanent displacements of the impact point and the monitor point are 181 mm and 290 mm, respectively. The displacement of the monitor point located at the top of the baffle is much larger than that of the impact point. From the development of deformation as shown in Fig.12 (a), the buckling of the compression flange at the bottom occurs firstly when T=11 ms. Then, the buckling of the web in compression at the bottom occurs when T=30 ms. As the impact continues, the plastic deformation of the flange and the web at the bottom gradually increases until the boulder rebounds. The deformation of the baffle impacted by the boulder is mainly concentrated on the compression flange and web at the bottom of the baffle.

For Case 2, the permanent displacements of the impact point and the monitor point (Fig. 7) are 292 mm and 218 mm, respectively. The permanent displacement of the impact point is much larger than that of the monitor point located at the top of the baffle, which means the deformation of the baffle is local. From the development of deformation as shown in Fig. 12(b), the buckling of the web at the impact area occurs soon after the impact happens when T=9.0 ms. As the impact continues, the plastic deformation of the baffle impacted by the boulder is mainly concentrated on the impact area, derived from the buckling of the web subjected to local compression.

For Case 3, the permanent displacements of the impact point and the monitor point are 204 mm and 206 mm, respectively. The displacements of the two points are practically the same, which means the deformation of the baffle above the impact point is almost the overall translation. From the development of deformation as shown in Fig. 12(c), the web below the impact point demonstrates obvious shear buckling characteristic when T=14.5 ms. As the impact continues, the plastic deformation of the web below the impact point gradually increases until the boulder rebounds. The deformation of the baffle impacted by the boulder is mainly concentrated on the area below the impact point, derived from the shear buckling of the web below the impact point.

From what has been discussed above, the energy dissipating modes can be classified into three major types according to the typical deformation characteristics, namely: a) flexural, b) local compression buckling and c) shear buckling.



Fig. 11 Displacement-time history

4.3.3. Energy-dissipating characteristic

The internal energies of the flange and the web of each case after the boulder rebounded and separated from the baffle were extracted from the simulations and shown in Fig. 13. The internal energy of the flange and web are denoted as $E_{\rm f}$ and $E_{\rm w}$, respectively. The summation of $E_{\rm f}$ and $E_{\rm w}$ is the energy dissipated by the baffle denoted as E_b. For Case 1, E_f, E_w and E_b are 61.8 kJ, 34.1 kJ and 95.9 kJ, respectively. For Case 2, E_f, E_w and E_b are 17.9 kJ, 79.6 kJ and 97.5 kJ, respectively. For Case 3, Ef, Ew and Eb are 17.1 kJ, 80 kJ and 97.1 kJ, respectively. It can be seen that the energies dissipated by the baffles all are slightly less than the impact energy 100 kJ. The main reason lies in the energy dissipated by friction and the kinetic energy of the rebounded boulder. For Case 2 and Case 3, the web of the baffle dissipated almost 80% of the impact energy, which means the web is the major energy dissipation component. For Case 1, the major energy dissipation component is the flange, which dissipated about 60% of the impact energy. The energy-dissipating characteristics are also consistent with the deformation characteristics as shown in Fig. 12. It also shows that both the flange and the web can be the major energy dissipation component of an H-shaped baffle.

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Fig. 13 Energy-dissipating characteristic

4.3.4. Energy dissipating efficiency

The energy dissipated per unit area denoted as λ (J/mm²) is defined to evaluate the energy dissipating efficiency of a baffle, and λ is calculated by Eq. (3):

$$\lambda = \frac{E_{\rm b}}{s_{\rm b}}$$

where s_b is the cross-section area of the baffle (mm²). λ can also be used to evaluate the energy dissipating efficiency of the flange or the web of an H-shaped baffle when E_b is substituted by E_f or E_w and s_b is substituted by the cross-section area of the flange or the web.

Table 4 shows the energy dissipating efficiency of these baffles, as well as that of the flange and web. It can be seen that, as far as the baffle is concerned, the highest energy dissipating efficiency is Case 2, which is 11. The lowest energy dissipating efficiency is Case 3, which is only 5.9. The former is about twice as much as the latter. It indicates that the energydissipating mode represented by Case 2, which is local compression buckling as discussed in Section 4.3.2, is the most efficient. The energy dissipating efficiencies of the flange of these cases all are below 10, and the lowest is only 1.1 in Case 3. But the energy dissipating efficiency of the web is much higher than that of the flange. In Case 2 and Case 3, the energy dissipating efficiencies of the web both are about 50. Even the lowest dissipating efficiency of the web in the three cases is also larger than 10. It indicates that the web and flange of an H-shaped baffle are the effective and ineffective components for energy dissipation, respectively. The web of an H-shaped baffle is recommended to be designed as the major energy dissipation component of an H-shaped baffle.

Table 4

Energy dissipating efficiency

	$E_{b}(\mathbf{J})$	$E_{\rm f}({ m J})$	$E_{\mathrm{w}}(\mathrm{J})$	$s_b (mm^2)$	$s_{\rm f}({\rm mm^2})$	$s_w (mm^2)$	$\lambda_b (J/mm^2)$	$\lambda_{\rm f} (J/mm^2)$	$\lambda_{\rm w} ({\rm J}/{\rm mm^2})$
case-1	9.59×10 ⁴	6.18×10^{4}	3.41×10^{4}	1.05×10^{4}	7.20×10 ³	3.31×10 ³	9.1	8.6	10.3
case-2	9.75×10 ⁴	1.79×10^{4}	7.96×10 ⁴	8.86×103	7.20×10 ³	1.66×10 ³	11.0	2.5	48.1
case-3	9.71×10^{4}	1.71×10^{4}	8.01×10^{4}	1.65×10^{4}	1.50×10^{4}	1.50×10 ³	5.9	1.1	53.3

5. Design recommendation

As discussed above, the energy dissipating modes of an H-sh subjected to the impact of a boulder can be divided into three type compression buckling is the most efficient. Considering the fact that the mode of energy dissipation is mainly determined by γ_f and γ_w , a total of 34 impact cases divided into 4 groups with different cross-sectional properties (Table 5) were simulated to find out the boundary value in this section. In these cases, the depth and width of the cross-section were both fixed to 300 mm. From Group 1 to Group 4, the thicknesses of the webs were fixed to 4mm, 6mm, 8mm and 10mm, respectively. In each group, the thicknesses of the flanges ranged from 6 mm to 25 mm. The mass of the boulder was fixed to 2000 kg. In order to ensure that the boulders were all stopped by these baffles and the baffles deformed significantly, the initial velocities of the boulders were different in these cases. For these cases named G-1-1 ~ G-1-10 and G-2-1, the impact velocity of the boulder was 7.07 m/s, which means the impact energy was 50 kJ. For these cases in Group 2 and Group 3 except for G-2-1, the impact velocity of the boulder was 10.0 m/s and the impact energy was 100 kJ. For these cases in Group 4, the impact velocity of the boulder was 14.1 m/s and the impact energy was 200 kJ.

The simulated results show that with the increasing of γ_w/γ_f , the energy dissipating mode is transformed from flexural (Type 1) to shear buckling (Type 3) gradually as a whole. But for the baffle with different thicknesses of the webs, the difference of the boundary values of the three energy dissipating modes is significant.

Table 5

Parametric study

1.65×10^{4}	1.50×10^{4}	1.50×10^{3}	5.9	1.1	53.3				
	For Gr	oup 1, the thickne	ess of the web of	f these cases was	4 mm, and the				
	energy dissipating modes were all local compression buckling (Type 2) when								
naped baffle	the γ_w/γ_f ran	nged from 2.9 to 1	10.4. Only when	the thickness of	the flange was				
es, and local	increased to	o 25 mm and the	γ_w / γ_f was 10.4, t	he energy dissipa	ating mode was				

the γ_w/γ_f ranged from 2.9 to 10.4. Only when the thickness of the flange was increased to 25 mm and the γ_w/γ_f was 10.4, the energy dissipating mode was transformed to Type 3; Type 1 did not appear even when $\gamma_w/\gamma_f = 2.9$. Therefore, for this group, it can be summarized that there are only two energy dissipating modes, Type 2 and Type 3, and the boundary value is 9.4.

For Group 2, the thickness of the web of these cases was 6 mm, and all the three types of energy dissipating modes appeared when γ_w/γ_f ranged from 2.5 to 6.9. The boundary values of γ_w/γ_f between Type 1 and Type 2, Type 2 and Type 3 were 3.7 and 4.2, respectively.

For Group 3, the thickness of the web of these cases was 8 mm, and Type 2 did not occur. The energy dissipating mode was transformed from Type 1 when $\gamma_w/\gamma_f = 3.6$ to Type 3 when $\gamma_w/\gamma_f = 4.0$. Therefore, for this group, the boundary value of these types is between 3.6 and 4.0.

For Group 4, the thickness of the web of these cases was 10 mm, and Type 2 did not occur either. The energy dissipating mode was transformed from Type 1 when $\gamma_w/\gamma_f = 3.5$ to Type 3 when $\gamma_w/\gamma_f = 3.8$. Therefore, for this group, the boundary value of these types is between 3.5 and 3.8.

Therefore, considering the energy dissipating efficiency of a baffle, the thickness of the web, denoted as t_w , is suggested to be 4 mm or 6 mm. For t_w =4 mm, when $\gamma_w/\gamma_f \le 9.4$, it can ensure that the energy dissipating mode is Type 2. For t_w =6 mm, when $3.7 \le \gamma_w/\gamma_f \le 4.2$, it can also ensure that the energy dissipating mode is Type 2.

Casa		Cross-section properties				1	mpact properties		
Cas	se	Size	$\gamma_{\rm f}$	$\gamma_{\rm w}$	γ_w/γ_f	<i>m</i> (kg)	$v_0 (m/s)$	$E_0(kJ)$	Energy dissipating mode
	G-1-1	H300×300×4×6	25.0	72.0	2.9	2000	7.07	50	Type 2
	G-1-2	H300×300×4×8	18.8	71.0	3.8	2000	7.07	50	Type 2
	G-1-3	H300×300×4×10	15.0	70.0	4.7	2000	7.07	50	Type 2
	G-1-4	H300×300×4×12	12.5	69.0	5.5	2000	7.07	50	Type 2
Crown 1	G-1-5	H300×300×4×14	10.7	68.0	6.3	2000	7.07	50	Type 2
Group 1	G-1-6	H300×300×4×16	9.4	67.0	7.1	2000	7.07	50	Type 2
	G-1-7	H300×300×4×18	8.3	66.0	7.9	2000	7.07	50	Type 2
	G-1-8	H300×300×4×20	7.5	65.0	8.7	2000	7.07	50	Type 2
	G-1-9	H300×300×4×22	6.8	64.0	9.4	2000	7.07	50	Type 2
	G-1-10	H300×300×4×25	6.0	62.5	10.4	2000	7.07	50	Type 3
	G-2-1	H300×300×6×8	18.8	47.3	2.5	2000	7.07	50	Type 1
	G-2-2	H300×300×6×10	15.0	46.7	3.1	2000	10.0	100	Type 1
	G-2-3	H300×300×6×12	12.5	46.0	3.7	2000	10.0	100	Type 2
	G-2-4	H300×300×6×14	10.7	45.3	4.2	2000	10.0	100	Type 2
Group 2	G-2-5	H300×300×6×16	9.4	44.7	4.8	2000	10.0	100	Type 3
	G-2-6	H300×300×6×18	8.3	44.0	5.3	2000	10.0	100	Type 3
	G-2-7	H300×300×6×20	7.5	43.3	5.8	2000	10.0	100	Type 3
	G-2-8	H300×300×6×22	6.8	42.7	6.3	2000	10.0	100	Type 3
	G-2-9	H300×300×6×25	6.0	41.7	6.9	2000	10.0	100	Type 3
	G-3-1	H300×300×8×10	15.0	35.0	2.3	2000	10.0	100	Type 1
	G-3-2	H300×300×8×12	12.5	34.5	2.8	2000	10.0	100	Type 1
Group 3	G-3-3	H300×300×8×14	10.7	34.0	3.2	2000	10.0	100	Type 1
Group 5	G-3-4	H300×300×8×16	9.4	33.5	3.6	2000	10.0	100	Type 1
	G-3-5	H300×300×8×18	8.3	33.0	4.0	2000	10.0	100	Type 3
	G-3-6	H300×300×8×20	7.5	32.5	4.3	2000	10.0	100	Type 3

	G-3-7	H300×300×8×22	6.8	32.0	4.7	2000	10.0	100	Type 3
	G-3-8	H300×300×8×25	6.0	31.3	5.2	2000	10.0	100	Type 3
	G-4-1	H300×300×10×12	12.5	27.6	2.2	2000	14.1	200	Type 1
	G-4-2	H300×300×10×14	10.7	27.2	2.5	2000	14.1	200	Type 1
	G-4-3	H300×300×10×16	9.4	26.8	2.9	2000	14.1	200	Type 1
Group 4	G-4-4	H300×300×10×18	8.3	26.4	3.2	2000	14.1	200	Type 1
	G-4-5	H300×300×10×20	7.5	26.0	3.5	2000	14.1	200	Type 1
	G-4-6	H300×300×10×22	6.8	25.6	3.8	2000	14.1	200	Type 3
	G-4-7	H300×300×10×25	6.0	25.0	4.2	2000	14.1	200	Type 3

Note: Type 1 - flexural type, Type 2 - local compression buckling type, Type 3 - shear buckling type.

6. Conclusions

Flexible barriers have been extensively adopted for the mitigation of rockfalls. Steel posts are the important compression members to ensure the integrity of interception structure and the function of the system. Due to the random trajectories of rockfalls, steel posts may be impacted by boulders directly and the impact scenario may result in the failure of the post and even the collapse of the system. In this paper, steel baffles were proposed to install in front of steel posts of flexible barrier systems to protect the posts. Numerical simulations were carried out to investigate the energy-dissipating modes and control method of H-shaped steel baffles subjected to boulder impact. The key findings from this study are summarized as follows:

1. Steel baffles are proposed to be an additional structural countermeasure installed in front of the posts of flexible barriers to protect the posts from boulder impact. The principle of the baffle mainly lies in the following two aspects: a) dissipate the impact energy of the boulder; b) change the trajectory of the boulder.

2. Three typical energy-dissipating modes, i.e., a) flexural, b) local compression buckling and c) shear buckling, are observed based on the numerical simulation results.

3. Energy dissipating efficiency is defined as the energy dissipated per unit area to evaluate the efficiency of a baffle. The local compression buckling mode is the most efficient.

4. With the increasing of ratio γ_w/γ_f , the energy dissipating mode is transformed from Type 1 to Type 3 gradually. However, for the baffle with different thicknesses of the webs, the boundary values of the three energy dissipating modes differ widely. The thickness of the web of an H-shaped baffle is suggested to be 4 mm and 6 mm for the rated dissipating energy of 50 kJ and 100kJ, respectively. For $t_w=4$ mm or $t_w=6$ mm, when $\gamma_w/\gamma_f \le 9.4$ or 3.7 $\le \gamma_w/\gamma_f \le 4.2$, respectively, the energy dissipating modes of the baffles is ensured to be the local compression buckling (Type 2).

Besides, it should be clarified that the findings from this paper are based on a specific height, width and depth of H-shaped baffles subjected to a specific impact scenario. Further studies on more factors affecting the

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dynamic response of a baffle are recommended.

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Appendix A

A.1 Notations

71.1 110	Jutions		
m_0	Mass	Ε	Elasticity modulus
H_0	Release height	v	Poisson ratio
E_0	Impact energy	t	Thickness
\mathcal{V}_0	Initial impact velocity	b	Width
$\sigma_{ m yd}$	Dynamic yield stress	$\gamma_{\rm f}$	Flange width-thickness ratio
$\sigma_{ m ys}$	Static yield stress	$\gamma_{\rm w}$	Web height-thickness ratio
С	Dynamic strain rate parameter	F_{stable}	Stable impact force
Р	Dynamic strain rate parameter	E_{f}	Internal energy of flange
F_{peak}	Peak impact force	$E_{ m w}$	Internal energy of web
$F_{\rm plateau}$	Plateau impact force	$E_{\rm b}$	Dissipated energy
Т	Duration of impact force	λ	Energy dissipated per unit area
χ	Constraint coefficient	sb	Cross-section area
k	Buckling coefficient		

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